## FRANK J. CARR

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#### **EDUCATION AND CERTIFICATIONS**

#### **Chartered Alternative Investment Analyst (CAIA) Association**

• Passed Level I of the CAIA program, covering hedge funds, private equity, real estate and commodities

Certified Professional Resume Writer, Professional Association of Resume Writers & Career Coaches

## New York Institute of Finance, New York, NY

• Graduate study in Commodity Exchange Trading and Operations, 1980

## Williams College, Williamstown, MA

- B.A. Political Economy, 1978
- Courses in economics, finance, banking and statistics
- Sports Editor, THE WILLIAMS RECORD

Securities Licenses: (previously held) FINRA Series 3, Series 6 & Series 63

#### **CURRENT CAREER EXPERIENCE**

## Centennial Advisory Group, LLC, Norwalk, CT

2004-Present

### Founder and Managing Partner

Manage all day-to-day activities of this independent executive search and consulting firm focused on recruiting finance, operations, tax, sales and investment analyst candidates for the investment management industry.

- In addition to search mandates, advise investment industry executives on career path options, compensation and provide resume writing and editing services as a Certified Professional Resume Writer
- Provide Expert Witness services to investment industry cases involving employment, compensation and other investment career related matters.

Centennial Advisory Group is a specialist in recruiting and consulting for the investment management industry, formed in 2004. Centennial was named "Recruitment Firm of the Year" for 2009 by Hedge Fund Manager Week magazine. In 2011, Mr. Carr also became affiliated with MJE Advisors, a specialist investment industry recruiting firm. Prior to forming Centennial, he had been a Managing Director in the Global Asset Management practice at A.T. Kearney Executive Search, a top 10 U.S. search firm, starting in 1999. He began his search career at LAI Ward Howell which was later acquired by TMP Worldwide (owners of Monster.com). Mr. Carr's recruiting experience covers a broad range of job functions within the investment management industry.

Mr. Carr has authored several articles for HedgeWorld, The MFA Reporter, FINalternatives.com and Hedge Fund Magazine, and he has been frequently quoted in publications such as Bloomberg News, CNN Money, Absolute Return, Hedge Fund Alert, HedgeWorld and Hedge Fund Manager Week. He has also served as both a moderator and panelist at numerous conferences and events sponsored by The Managed Funds Association, EuroMoney, InfoVest21, Columbia Business School, and CAIA, the Chartered Alternative Investment Analyst Association.

#### PREVIOUS CAREER EXPERIENCE

Prior to entering executive search, Mr. Carr was Chief Financial Officer of The Marevista Fund, a Connecticut-based private investment firm which included a hedge fund and a Commodity Trading Advisor (CTA). He managed the accounting and compliance functions as well as the offering and sales process for the firm's investment units, including registration with the Commodity Futures Trading Commission. Mr. Carr had spent eight years in commercial bank lending, initially with Citibank in their Wall Street Commodities division and later was a banker to the feature film and TV industries, working at European American Bank in New York. His clients included independent feature film producers, television program producers and TV station owners.

# **Previous Expert Witness Experience:**

- Represented a \$12 billion Texas-based SEC Registered Investment Adviser and leading global alternative credit manager in a JAMS arbitration regarding a terminated employee. Prepared a rebuttal expert witness report and provided live testimony at the JAMS arbitration hearing.
- Represented the female head of business development & investor relations of a \$30 billion New York-based private equity firm in a gender discrimination and compensation dispute with her firm. The scope of the opinion included an analysis of private equity industry compensation practices, commentary about the head of business development job functions and comparison of revenue contribution versus male counterparts. Prepared an expert witness report and have been asked to testify at the planned American Arbitration Association hearing.
- Represented a financial advisor in a FINRA arbitration against one of the top 5 U.S. wealth management firms. The scope of the opinion included projected career advancement, compensation analysis and calculation of lost earnings. Prepared an expert witness report and have been asked to testify at the planned FINRA arbitration hearing.
- Represented a portfolio manager/ investment analyst in a wrongful termination action against one of the largest U.S. Broker Dealers with over \$600 billion in assets. The scope of the opinion included projected career advancement, compensation analysis and calculation of lost earnings. Prepared an expert witness report and provided live testimony at the FINRA arbitration hearing.
- Represented a hedge fund portfolio manager as an expert rebuttal witness in a high profile hedge fund employment/compensation lawsuit. The portfolio manager had been hired by a senior executive at a Top 5 global investment bank as part of a new hedge fund launch. Prepared a rebuttal opinion letter submitted to the United States District Court for the Southern District of New York.
- Prepared a compensation opinion letter regarding a hedge fund portfolio manager in a Florida divorce case.