

MARIE G. KERR
RECENT PUBLICATIONS & PRESS CLIPPINGS

PUBLICATIONS:

“Criminal Intent: A Consultant’s Lament” *Association of Certified Financial Crime Specialists (ACFCS)* conference paper, September, 2012

“In addition to monitoring customers, institutions must watch their IT units where insider financial crime can lurk” *ACFCS*, May 22, 2012

“IT expert guides institutions on financial crime risks in IT departments” *ACFCS*, June 4, 2012

“How back office processes can sabotage even the best monitoring systems” *Money Laundering Alert*, June, 2007, p. 4

“Look-Backs: the Overwrought, the Streamlined and How to Prevent Them” *Money Laundering Alert* conference paper, March 2007

“TECHNOLOGY - Clean examiner audits require careful linkage of compliance data” *Money Laundering Alert*, March 2005, p. 13

“The Infrastructure of Compliance—Building a Bridge to Vendor BSA/AML Solutions” *Money Laundering Alert* conference paper, March 2005

“It’s All About the Data” *Association of Certified Anti-Money Laundering Specialists (ACAMS) Anti-Money Laundering Technology Resource Directory, 2003-2004*

“TECHNOLOGY - How one Intranet document can make audits go smoothly” *Money Laundering Alert*, November 2004, p. 13

PRESS CLIPPINGS:

July 9, 2015, *ACFCS News*, “OCC Concerned about How Banks Attract, Retain AML Talent, Gauge Crime Risks and Defend against Hackers: Report”

November 29, 2011, *Moneylaundering.com News*, “Many Financial Institutions Unlikely to Meet FinCEN’s E-Filing Deadline”

April 21, 2011, *Moneylaundering.com News*, “Distinguishing Hiccups from Systemic Failure Isn’t Always Easy, Say Compliance Pros”

Marie G. Kerr Press

February 11, 2011, *Moneylaundering.com News*, “Zions Bank Fined \$8 Million for Failing to Report Suspect Casas de Cambio Deposits”

November 18, 2010, *Moneylaundering.com News*, “Acquiring Failed Banks Can Mean Buying Compliance Risks, Say Consultants”

September 17, 2010, *Moneylaundering.com News*, “Examiners Prodding Banks on Client Risk Rankings, Say Compliance Officials”

June 3, 2010, *Moneylaundering.com News*, “FinCEN Issues \$1 Million Fine Against Troubled New Jersey Bank Penalized in March”

March 30, 2010, *Moneylaundering.com News*, “As Budgets Have Shrunk, Banks Have Fallen Behind on SARs, Risk Ranking: Regulators”

December 31, 2009, *Moneylaundering.com News*, “Professionals Disagree on When to File SARs on Suspected Evasion of Civil Payments, Tax Liens”

April 15, 2009, *Moneylaundering.com News*, “IRS Seeks Payment Processor Data in Offshore Tax Probe”

February 19, 2009, *Moneylaundering.com News*, “Merged ‘Megabanks’ Face Compliance Culture Clashes, Training Challenges”

November, 2008, *Money Laundering Alert*, p. 8, “Merging Banks Shouldn’t Skimp on AML, Say Consultants”

August 27, 2008, *Moneylaundering.com News*, “Keeping Out Booted Customers Increasingly Important for Banks, Say Compliance Professionals”

August 22, 2008, *Moneylaundering.com News*, “Skimping on AML Training Could Be Costly Compliance Cutback, Say Compliance Professionals”

August, 2008 *Money Laundering Alert*, p. 1, “Online gambling regulations will mean new due diligence for banks, say analysts”

June 9, 2008, *AML Compliance Alert*, p. 1 “Project Management: Don’t Fall Victim to Poor Project Planning – 4 Tips Ensure Success”

May 29, 2008, *Moneylaundering.com News*, “Fraudsters turning to payment processors to defraud customers, launder money”

March, 2008, *AML Compliance Alert*, p. 1 “Risk Management: Boost AML Efforts for Prepaid Cards with These Monitoring and Risk FAQs”

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March, 2008, *AML Compliance Alert*, p. 1 “Case Management: Prepare to Meet Regulatory Requirements with These 4 Case Management Essentials”

January 14, 2008, *Moneylaundering.com News*, “Nonbank ATMs, deemed low risk for laundering, present compliance challenges”

November, 2007 *Money Laundering Alert*, p. 11 “Get directors to take AML training seriously with tough talk”

October, 2007 *Money Laundering Alert*, p. 4 “Meshing AML systems is critical in mergers and acquisitions”

October 5, 2007 *Fortent Inform*, “Poor Business Classification, Expired Documents often Undermine Customer ID Programs”

August 27, 2007 *Moneylaundering.com News*, “Third-party payment processors key to offshore bank fraud, laundering case”

June, 2007 *Money Laundering Alert*, p. 5 “FDIC orders look-backs in two AML-related enforcement actions”

April 16, 2007, *Securities Technology Monitor* and *Securities Industry News*, “A New Look at AML Look-Backs”

April 4, 2007, *Moneylaundering.com News*, “NCUA orders New Jersey credit union to review customer ID numbers”

February 15, 2007, *Moneylaundering.com News*, “Pennsylvania bank ordered to scrutinize its vendors”

January, 2005 *Money Laundering Alert*, p. 13 “TECHNOLOGY - FDIC guidance holds AML software liable for regulatory compliance”