

Richard K. Baer, M.D. - Curriculum Vitae

Contact: RichardBaerMD@gmail.com Tel. 773-477-0201

EMPLOYMENT

From July, 2014 – Present

**Physician Consultant
Richard K Baer, MD & Associates, LLC**

Dr. Baer provides consultative services in medical policy, compliance, audit, Medicare rules and regulations, billing, documentation and ICD-10 for government and private payers, industry and providers. This includes Medical policy development, clinical trials design and other medical billing compliance, documentation compliance, medical device and drug marketing, coverage expertise, ICD-10 training, medical claims processing automation, and medical claims data analysis and audit. Dr. Baer also has extensive experience consulting and testifying for the United States Department of Justice and private attorneys in Medicare criminal and civil fraud trials and other civil proceedings.

From December, 2014 – October, 2016

**Consulting Medical Director
PricewaterhouseCoopers**

Working through PwC, provided leadership in developing medical policy for large multi-state and mid-size commercial health plans. Duties involved claims data analysis, medical policy development, medical policy Web site development, and claims edit implementation.

From December, 2010 – June 2011,
July 2014 – present

**Medical Director
Emerson Consultants, Inc.**

Provides expert medical consultation on payer coverage requirements for the medical device industry.

- 1. Reviews new technologies to propose clinical study design to ensure post-approval coverage;*
- 2. Develops strategies to seek specific device coverage or overturn non-coverage decisions by government and commercial payers;*
- 3. Reviews clinical data to make recommendations to strengthen coverage and payment positions.*

From December, 2009 – June, 2011
From July 2014 – Present

**Physician Consultant, Meade & Roach LLP
and Aegis Compliance & Ethics Center, LLP**

Duties and Responsibilities:

- 1. Lead physician consultant for matters relating to hospital billing compliance, clinical drug and medical device trials, and investigational device (IDE) trials;*

2. *Provides expert opinion on Medicare rules and regulations, provider documentation and compliance, and third party payer medical review and coverage.*

From September, 2001- Present

**Physician Consultant, Expert Witness
United States Department of Justice**

Duties and Responsibilities:

1. *Assists Assistant U.S. Attorneys in Illinois, Wisconsin, Minnesota, Louisiana, Georgia, Tennessee, Florida, Maryland, and Washington, DC in Medicare fraud case development and record/case review*
2. *Serves as expert witness in Medicare fraud trials involving medical devices, institutional and physician services.*

From July, 2011 – June, 2014

**Chief Medical Officer, Performant Recovery,
Performant Financial Corporation**

Served as Chief Medical Officer and Contractor Medical Director (CMD) for Performant Recovery, the Recovery Auditor (RA) for Region A, which encompasses the northeastern United States.

1. *Created the New Issue Development team that develops automated, semi-automated, and complex medical review concepts;*
2. *Directed development of medical review guidelines for complex review of medical necessity for inpatient stays and DRG validation;*
3. *Provided direction for data analysis for review of claims data billing aberrancies;*
4. *Participated in and directed the claims denial discussions process with physicians;*
5. *Created the team that administers Administrative Law Judge Hearings and trained physicians in ALJ hearing testimony;*
6. *Provided medical direction for all other healthcare services business development with commercial payers. Responsible for securing contracts with three large commercial payers.*

From May, 2010 – June, 2011

Medical Consultant, PricewaterhouseCoopers

Provided medical consultation for payer operations on selected large payer clients including:

1. *ICD-10-CM and ICD-10-PCS coding transition;*
2. *Medical management, including government, legislation, and accreditation processes, disease management, and utilization management;*
3. *Medical policy development, coding, implementation, and editing;*
4. *Claims submission/payment quality assurance, data analysis, audit, compliance, and research billing.*

From December, 2009 – May, 2011

**Medical Director, Program Integrity
Medicare RAC Validation Contractor
Provider Resources, Inc.**

Duties and Responsibilities:

1. *Served as Contractor Medical Director (CMD) for the Recovery Audit Contractor Validation Contractor overseeing the approval process for RAC Medical Review issues including automated and manual reviews, background federal regulations, CMS and MAC contractor policy for Medicare Part A, B, & DME claims;*
2. *Directed the Medical Review validation process for automated and complex RAC recoveries, including audit of claims review, payment policy, and reimbursement accuracy for Medicare Part A, B, & DME claims.*
3. *Provided medical direction on other government contracts including Veterans Administration, OIG, and Workman's Compensation.*

From February, 2009 – November 30, 2009

**Lead Medical Director
National Government Services, Inc.**

Duties and Responsibilities:

1. *Served as Lead Medical Director for all National Government Services Medical Policy and Medical Review. Directs, manages, and leads physician efforts for National Government Services medical policy development and coverage for Medicare Part A, B, & DME;*
2. *Supervised medical necessity decision making for Medical Review, Claims, Data Analysis, Provider Outreach and Education, and Appeals departments;*
3. *Led the multi-departmental team that develop claims processing system edits as a result of the local coverage determinations (LCDs) and CMS national policy;*
4. *Provided oversight for Medical Review and Appeals guidelines and clinical review activities;*
5. *Developed clinical guidelines for claims adjudication;*
6. *Led Contractor Medical Directors' (CMDs) relationships with physicians and other health care practitioners, and the Contractor Advisory Committees, related to LCDs and CMS Medicare coverage policies;*
7. *Led CMD activities with provider groups and institutional organizations for education concerning LCDs, National Government Services operations, and CMS Medicare coverage policies;*
8. *Led the data analysis enterprise for Medical Review and Medical Policy;*
9. *Medical lead for National Government Services ICD-10 coding project.*

From August, 1998 – February, 2009

**Medical Director, Medicare Part A
National Government Services**

Duties and Responsibilities:

1. *Served as Medical Director for Medicare Part A for Illinois, Indiana, Kentucky, and Ohio;*
2. *Identified the need for, and the development of, local medical guidelines and policies for Medicare Part A, including assessment of information on new procedures and technologies;*
3. *Rendered medical coverage and coding decisions in support of pre-payment and post-payment medical review, fair hearings requests, and fraud and abuse investigations;*
4. *Served as an internal and external medical information resource, particularly in the interpretation and application of coverage and coding;*
5. *Supervised medical review personnel on the use of internal medical review guidelines;*
6. *Represented the fiscal intermediary at national, regional, and local meetings of Medicare Medical Directors, including participation on national workgroups;*

7. Spoke on behalf of the intermediary to professional medical organizations, locally, regionally, and nationally, on timely Medicare issues and contractor activities;
8. Undertook special projects in medical aspects of Medicare claims processing operations;
9. Coordinated the regionalization of Part A and B LCDs within National Government Services and with other local Medicare contractors;
10. Created and led the Data Analysis Team (DAT). The DAT coordinated all data analysis for pre- and post pay medical review and medical policy for all National Government Services contracts.

From November 5, 2001- February 15, 2002 **Interim Medical Director, Medicare Part B
Indiana and Kentucky
AdminaStar Federal**

From November 1, 1997 – August 28, 1998 **Medical Director, Medicare Part A, RHHI
Health Care Service Corporation**

1. In addition to serving as the contractor Medical Director for Medicare Part A, performed these functions for Medicare coverage of home health and hospice;
2. Wrote nationally implemented medical policies on hospice care for non-cancer diagnoses.

From April 20, 1994 - October 31, 1997 **Associate Medical Director, Medicare Part B,
Illinois - RHHI, Illinois, Indiana, Ohio
Health Care Service Corporation**

From October 14, 1991 - To July 26, 1994 **Vice Chairman, Department of Psychiatry
Illinois Masonic Hospital Medical Center
836 W. Wellington Avenue
Chicago, IL 60657**

Duties and Responsibilities:

1. Chaired the quality assurance and utilization review committees for the department of psychiatry;
2. Attended departmental and executive committee meetings and acted in the Chairman's place when absent;
3. Coordinated the Continuing Medical Education program for the department.

From July 1, 1991- To November 1, 1992 **Associate Director, Katherine Wright
Center**

Duties and Responsibilities:

1. Assigned and supervise patients for 46 multispecialty mental health providers, including psychiatrists, clinical psychologists, licensed clinical social workers, clinical nurse specialists, and students from these disciplines;
2. Developed policies and procedures regarding standards for evaluation and treatment;
3. Developed policies and procedures for the administrative flow of work within the Center;
4. Designed curriculum for the learning of psychotherapy.

From February 1, 1990 - To July 1, 1991

Director, Integrated Therapies Unit
Illinois Masonic Hospital Medical Center

Duties and Responsibilities:

1. *Developed, instituted, and supervised an inpatient psychiatric treatment program for a ten-bed unit emphasizing an integrated biopsychosocial approach;*
2. *Developed an inservice education program for training in psychoanalytically informed approaches to the treatment of major mental illness;*
3. *Approved all patient admissions and monitored quality and appropriateness of care.*

From July 1, 1982 - December 22, 1995

**Private practice in psychiatry,
psychoanalysis and addiction medicine**

PROFESSIONAL ACTIVITIES

Professional Associations and Committees:

American Psychiatric Association, 1979 - Present

Fellow, 1991, Distinguished Fellow, 2003, Distinguished Life Fellow, 2014

Illinois Psychiatric Society, 1979 - Present

President, 1992-1993

President - Elect, 1991-1992

Councilor, 1985-1987

Editor, IPS Report (Society newsletter), 1984-1994

Committee memberships:

Chair, Ethics Committee, 1993-1995

Member, Ethics Committee, 1987-1995

Member, Managed Care Committee, 1991-1993

Member, Budget and Finance Committee, 1991-1993

Chair, Committee on Public Relations, 1984 -1988, 1993-1994

Co-chair, Residents Committee, 1980-1982

American Psychoanalytic Association, 1983 - 1999

Committee on Confidentiality, 1986 - 1988

Chicago Psychoanalytic Society, 1983 - 1999

Committee on Legislative Affairs, 1993 - 1997

American Medical Association, 1990 - Present

Illinois State Medical Society, 1990 - 2010

Chair, Council on Mental Health and Addiction, 1993-1994

Member, Council on Mental Health and Addiction, 1992-1995

Medicare Carrier Advisory Committee, 1992-1994

PUBLICATIONS

Matsumura JS, Baer RK, Clinical Trials and the Approved Use of New Medical Devices. In: Yao JST, Pearce WH eds. *Current Techniques in Vascular Surgery*. New York, NY: McGraw-Hill Medical Publishing Division; 2001: 51-57.

Baer RK, Chapter II: Urban Psychiatric Practice. In: Silberman EK, ed. *Successful Psychiatric Practice: Current Dilemmas, Choices, and Solutions*. Washington, D.C.:American Psychiatric Press, Inc. 1995.

Baer, RK, et.al., Biological and Family Indicators in Depression. *J Clin Psychiatry*, 1983;10:372-378.

BOOKS PUBLISHED

Baer, RK, *Switching Time*, New York, Crown, 2007

AWARDS/SPECIAL RECOGNITION

American Psychiatric Association, Newsletter Editor of the Year, 1991

BOARD CERTIFICATIONS

National Board of Medical Examiners, 1978

American Board of Psychiatry and Neurology, Psychiatry
Part I, May, 1984
Part II, June, 1985

OTHER CERTIFICATIONS

American Society of Addiction Medicine, 1989

AHIMA ICD-10 Train the Trainer Academy, July, 2010

EDUCATION

University of Illinois, Champaign-Urbana, Illinois, 1970-1974
B.A. in English Literature, May, 1974, Minors in Rhetoric and Chemistry
Academic Honors: Deans List 1970-1974
Alpha Epsilon Delta Honorary Premedical Society
Illinois State Scholarship Award

*University of Illinois, Abraham Lincoln School of Medicine, Chicago, Illinois 1974-1978
M.D. degree, June, 1978*

Academic Honors: James Scholar in Independent Study

Dr. Bertrand A Richardson Scholarship

Summer Research Fellowship, Department of Anatomy, 1975

*University of Illinois, Abraham Lincoln School of Medicine, Department of Psychiatry
Residency Training Program, Chicago, Illinois, 1978-1982*

Certificate of Completion of Residency, June 30, 1982

*Academic Honors: American Psychiatric Association Falk Fellowship,
1980-1982*

Institute for Psychoanalysis, Chicago, Illinois, 1983-1998

Northwestern University, 2003-2006, Masters Degree (MA) in Creative Writing

*Indiana University Kelly School of Business, 2007-2008, Federal Government Solutions
Strategic Leadership Program*