

EXPERIENCE

Kerry Campbell LLC (Feb 2014 – present) *Principal* Staten Island, NY
Financial Litigation and Investment Management Consulting

- Serve as a financial expert witness on behalf of plaintiffs and defendants in arbitrations and litigations.
- Provide independent research and analysis on investments, portfolio management and risk management.

Arden Asset Management (March 2010 – January 2014) *Managing Director* New York, NY
Hedge Fund Manager Research and Portfolio Management

- Headed Equity Market Neutral Manager Research. In addition, also covered Multi-Strategy Funds.
- Managed \$950 million in equity market neutral and multi-strategy allocations. Performed qualitative and quantitative due diligence of existing and prospective managers. Made allocation and redemption decisions.
- Allocated to three managers in Arden's 1940 Act Fund (ARDNX). Allocations to two equity market neutral quantitative funds represented new hedge fund relationships. A third allocation was a new strategy (risk premia capture/smart beta) for an existing manager relationship.
- Participated in monthly calls with hedge fund managers and wrote monthly manager reviews. Assessed risk-adjusted performance. Analyzed performance attribution and decomposed return into a beta and alpha component.
- Published and presented the "Equity Market Neutral Monthly Strategy Report." Analyzed factor performance in the U.S., Europe and Asia. Monitored equity pair-wise realized and implied correlations.
- Performed portfolio optimizations (Mean Variance, CVaR and MVaR). Evaluated historical time series. Integrated forward looking asset class outlooks, strategy views and individual manager ratings into the optimization process.
- Constructed customized portfolios based on clients' return target, risk tolerance and liquidity requirements.

Campbell Advisors (Jan 2009 – Feb 2010) *Principal* Staten Island, NY

- Served as a financial expert witness on behalf of plaintiffs and defendants in FINRA arbitrations.

Guggenheim Partners (Nov 2003 – Dec 2008) *Senior Vice President* New York, NY
Hedge Fund Manager Research and Portfolio Management

- Headed Credit Strategy Manager Research (long/short credit, distressed, convertible arbitrage, credit event).
- Managed \$725 million in credit related allocations. Performed qualitative and quantitative due diligence of existing and prospective managers. Made allocation and redemption decisions.
- Managed a \$100 million customized long/short credit fund for a U.S. insurance company.
- Headed relationship management with a large public pension fund. This included: (1) overseeing portfolio construction for a \$530 million bespoke multi – strategy managed account; (2) conducting monthly calls; and (3) producing and presenting quarterly reviews to the public pension's investment team and third party consultant.
- Completed monthly manager reviews which highlighted changes in exposures, theses and performance attribution.
- Wrote monthly newsletters for three multi-strategy funds (flagship and two customized funds).
- Made presentations to prospects and existing clients. Contributed frequently to RFP's and ad hoc client requests.

Bear Stearns & Company (2001 – 2003) *Managing Director* New York, NY
Prime Brokerage Risk Management

- Set haircuts on hedge fund portfolios. Approved "one-off" enhanced leverage for individual multi-legged positions.
- Monitored portfolios' sensitivity to equity delta, interest rates, credit spreads, volatility and the passage of time.
- Performed historical stress tests and prospective "what if" stress tests.

Campbell Advisors (1998 - 2000) *Principal* Staten Island, NY

- Private wealth management consultant for a family office.
- Researched credit, equity and alternative investments. Constructed portfolio and managed risk exposures.

Fahnestock/Murphy & Durieu (1992 - 1997) *Registered Representative* New York, NY

- Sold securities to institutional clients and “high net worth” individuals.
- Brokered convertible arbitrage transactions.

Citicorp (1990 – 1991) *Funding Associate* New York, NY

- Managed short to medium-term interest rate risk with futures, options and swaps.

Manufacturers Hanover Trust Company (1986 – 1988) *Credit Analyst* New York, NY

- Completed credit analysis, approved credit extensions, priced loans and monitored risk exposures.

PROFESSIONAL LICENSES HELD

- Series 7 - General Securities Representative
- Series 55 - Registered Equity Trader
- Series 63 - Uniform Securities Agent
- Series 65 - Uniform Investment Advisor

PROFESSIONAL CERTIFICATIONS

- Chartered Financial Analyst® (2009)
- CERTIFIED FINANCIAL PLANNER™ (2017)
- Accredited Investment Fiduciary Analyst™ (2018)
- Approved FINRA Dispute Resolution Arbitrator (2015)

EDUCATION

University of Chicago Booth School of Business

MBA Finance, June 1990 Dean’s Honor List

Fordham University Gabelli School of Business

B.S. Finance, Summa Cum Laude, May 1986